

**PCT**

NOTIFICATION OF TRANSMITTAL  
OF COPIES OF TRANSLATION  
OF THE INTERNATIONAL PRELIMINARY REPORT  
ON PATENTABILITY  
(CHAPTER I OR CHAPTER II)  
OF THE PATENT COOPERATION TREATY  
(PCT Rules 44bis-3(c) and 72.2)

Date of mailing (day/month/year) 12 October 2006 (12.10.2006)
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Applicant's or agent's file reference PC 04 402 B	<b>IMPORTANT NOTIFICATION</b>
International application No. PCT/EP2004/010837	International filing date (day/month/year) 22 September 2004 (22.09.2004)
Applicant NEOPERL GMBH et al	

## 1. Transmittal of the translation to the applicant.

The International Bureau transmits herewith a copy of the English translation of the international preliminary report on patentability (Chapter I).

The International Bureau transmits herewith a copy of the English translation of the international preliminary report on patentability (Chapter II).

## 2. Transmittal of the copy of the translation to the designated or elected Offices.

The International Bureau notifies the applicant that copies of that translation have been transmitted to the following designated or elected Offices requiring such translation:

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The following designated or elected Offices, having waived the requirement for such a transmittal at this time, will receive copies of that translation from the International Bureau only upon their request:

AE, AG, AL, AM, AP, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EA, EC, EE, EG, EP, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OA, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW

## 3. Reminder regarding translation into (one of) the official language(s) of the elected Office(s).

The applicant is reminded that, where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary report on patentability (Chapter II).

It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned within the applicable time limit (Rule 74.1). See Volume II of the PCT Applicant's Guide for further details.

The International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20, Switzerland  Facsimile No. +41 22 338 82 70	Authorized officer  Agnes Wittmann-Regis  Facsimile No. +41 22 338 82 70
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TRANSLATION

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY  
(Chapter II of the Patent Cooperation Treaty)

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference <b>PC 04 402 B</b>	FOR FURTHER ACTION		See Form PCT/IPEA/416
International application No. <b>PCT/EP2004/010837</b>	International filing date (day/month/year) <b>22.09.2004</b>	Priority date (day/month/year) <b>03.11.2003</b>	
International Patent Classification (IPC) or national classification and IPC <b>F16K15/06</b>			
Applicant <b>NEOPERL GMBH</b>			

1. This report is the international preliminary examination report, established by this International Preliminary Examining Authority under Article 35 and transmitted to the applicant according to Article 36.	
2. This REPORT consists of a total of <u>4</u> sheets, including this cover sheet.	
3. This report is also accompanied by ANNEXES, comprising:	
a. <input checked="" type="checkbox"/> (sent to the applicant and to the International Bureau) a total of <u>2</u> sheets, as follows:	
<input checked="" type="checkbox"/> sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications authorized by this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions).	
<input type="checkbox"/> sheets which supersede earlier sheets, but which this Authority considers contain an amendment that goes beyond the disclosure in the international application as filed, as indicated in item 4 of Box No. I and the Supplemental Box.	
b. <input type="checkbox"/> (sent to the International Bureau only) a total of (indicate type and number of electronic carrier(s))	
, containing a sequence listing and/or tables related thereto, in computer readable form only, as indicated in the Supplemental Box Relating to Sequence Listing (see Section 802 of the Administrative Instructions).	
4. This report contains indications relating to the following items:	
<input checked="" type="checkbox"/> Box No. I Basis of the report	
<input type="checkbox"/> Box No. II Priority	
<input type="checkbox"/> Box No. III Non-establishment of opinion with regard to novelty, inventive step and industrial applicability	
<input type="checkbox"/> Box No. IV Lack of unity of invention	
<input checked="" type="checkbox"/> Box No. V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement	
<input type="checkbox"/> Box No. VI Certain documents cited	
<input type="checkbox"/> Box No. VII Certain defects in the international application	
<input type="checkbox"/> Box No. VIII Certain observations on the international application	

Date of submission of the demand	Date of completion of this report
Name and mailing address of the IPEA/EP	Authorized officer
Facsimile No.	Telephone No.

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.  
PCT/EP2004/010837

## Box No. I Basis of the report

1. With regard to the language, this report is based on the international application in the language in which it was filed, unless otherwise indicated under this item.

This report is based on translations from the original language into the following language \_\_\_\_\_ which is the language of a translation furnished for the purposes of:

international search (Rule 12.3 and 23.1(b))  
 publication of the international application (Rule 12.4)  
 international preliminary examination (Rule 55.2 and/or 55.3)

2. With regard to the elements of the international application, this report is based on (replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report):

the international application as originally filed/furnished  
 the description:  
 pages 1, 3-7 \_\_\_\_\_ as originally filed/furnished  
 pages\* 2, 2a \_\_\_\_\_ received by this Authority on / as amended under Article 19  
 pages\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

the claims:  
 nos. 1-10 \_\_\_\_\_ as originally filed/furnished  
 nos.\* \_\_\_\_\_ as amended (together with any statement) under Article 19  
 nos.\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_  
 nos.\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

the drawings:  
 sheets 1/2, 2/2 \_\_\_\_\_ as originally filed/furnished  
 sheets\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_  
 sheets\* \_\_\_\_\_ received by this Authority on \_\_\_\_\_

a sequence listing and/or any related table(s) – see Supplemental Box Relating to Sequence Listing.

3.  The amendments have resulted in the cancellation of:

the description, pages \_\_\_\_\_  
 the claims, nos. \_\_\_\_\_  
 the drawings, sheets/figs \_\_\_\_\_  
 the sequence listing (specify): \_\_\_\_\_  
 any table(s) related to sequence listing (specify): \_\_\_\_\_

4.  This report has been established as if (some of) the amendments annexed to this report and listed below had not been made, since they have been considered to go beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).

the description, pages \_\_\_\_\_  
 the claims, nos. \_\_\_\_\_  
 the drawings, sheets/figs \_\_\_\_\_  
 the sequence listing (specify): \_\_\_\_\_  
 any table(s) related to sequence listing (specify): \_\_\_\_\_

\* If item 4 applies, some or all of those sheets may be marked "superseded."

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.  
PCT/EP2004/010837

Box No. V		Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement																									
<p><b>1. Statement</b></p> <table> <tr> <td>Novelty (N)</td> <td>Claims</td> <td>5, 6, 9, 10</td> <td>YES</td> </tr> <tr> <td></td> <td>Claims</td> <td>1-4, 7, 8</td> <td>NO</td> </tr> <tr> <td>Inventive step (IS)</td> <td>Claims</td> <td>5, 6</td> <td>YES</td> </tr> <tr> <td></td> <td>Claims</td> <td>1-4, 7-10</td> <td>NO</td> </tr> <tr> <td>Industrial applicability (IA)</td> <td>Claims</td> <td>1-10</td> <td>YES</td> </tr> <tr> <td></td> <td>Claims</td> <td></td> <td>NO</td> </tr> </table> <p><b>2. Citations and explanations (Rule 70.7)</b></p> <p>1 The present report refers to the following document:</p> <p>D1: GB 661 479 A (GEN MOTORS CORP) 21 November 1951 (1951-11-21). /</p> <p>2. INDEPENDENT CLAIM 1</p> <p>2.1 The present application does not meet the requirements of PCT Article 33(1) because the subject matter of claim 1 is not novel within the meaning of PCT Article 33(2). Document D1 discloses (the references between parentheses relate to D1):</p> <p>2.2 A non-return valve which in the interior (22) of its cage (20) has a valve body (30) which when the valve is in a closed position tightly contacts a valve seat (23) and which can be moved from a closed position into an open position against a restoring force, wherein the housing interior (22) in the movement range of the valve body (30) has an interior section whose inside diameter is</p>				Novelty (N)	Claims	5, 6, 9, 10	YES		Claims	1-4, 7, 8	NO	Inventive step (IS)	Claims	5, 6	YES		Claims	1-4, 7-10	NO	Industrial applicability (IA)	Claims	1-10	YES		Claims		NO
Novelty (N)	Claims	5, 6, 9, 10	YES																								
	Claims	1-4, 7, 8	NO																								
Inventive step (IS)	Claims	5, 6	YES																								
	Claims	1-4, 7-10	NO																								
Industrial applicability (IA)	Claims	1-10	YES																								
	Claims		NO																								

## INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

International application No.  
PCT/EP2004/010837

Box No. V	Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
<p>greater than the outside diameter of the valve body, and wherein to ensure a snug fit of the valve body (30) a spring-elastic valve-body guide (35, 37) is provided between the valve body (30) and the interior wall of the housing delimiting the interior section.</p>	
<p>3. DEPENDENT CLAIMS 2-4 AND 7-10</p> <p>Claims 2-4 and 7-10 contain no features which, combined with the features of any claim to which they refer, meet the PCT requirements for novelty and inventive step. The additional features of claims 2-4, 7 and 8 are known from document D1. The additional features of claims 9 and 10 relate to a simple design measure. To a person skilled in the art it would be straightforward to use these features to corresponding effect and in this way to arrive at a non-return valve according to one of claims 9-10, without thereby being inventive.</p>	
<p>4. DEPENDENT CLAIMS 5 AND 6</p> <p>The combination of features contained in dependent claims 5 and 6 is not known from or suggested by the available prior art.</p>	
<p>5. The subject matter of claims 1-10 can be made and used within the meaning of PCT Article 33(4).</p>	